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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/612,395	07/02/2003	Taylor N. Van Vleet	ZNET.093A	3210
20995	7590	04/05/2006	EXAMINER	
KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA 92614			BURGESS, BARBARA N	
			ART UNIT	PAPER NUMBER
			2157	

DATE MAILED: 04/05/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/612,395	<b>Applicant(s)</b> VLEET ET AL.	
	<b>Examiner</b> Barbara N. Burgess	<b>Art Unit</b> 2157	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 05 January 2006.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-13, 46 and 47 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-13, 46 and 47 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>7-12-05</u> | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

This Office Action is in response to Applicant's Response to Election/Restriction filed January 5, 2006. Applicants elected Group I, consisting of claims 1-13, 46-47. These claims are presented for examination.

### ***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

1. Claims 1, 6, 9-12, 46-47 are rejected under 35 U.S.C. 102(e) as being anticipated by Hentzel et al. (hereinafter "Hent", US Patent 6,877,007 B1).

As per claim 1, Hent discloses a web site system, comprising:

- A web server system that is responsive to requests from online users by generating and returning web pages, wherein the web server system includes one or more applications that generate personalized content for recognized users based on browse histories of such users (column 3, lines 30-43, 59-65, column 6, lines 15-21, 34-40, column 7, lines 27-40);

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- An event history server that persistently stores event data descriptive of events that occur during browsing sessions of each of a plurality of users of the web server system, wherein the event history server stores the event data substantially as corresponding events occur, and makes such event data available in real time to the one or more applications to facilitate personalization of web pages for the users (column 3, lines 40-45, 50-61, column 7, lines 43-50, 48-53, column 9, lines 2-5, column 10, lines 40-40);
- Wherein the event history server implements a query interface through which the one or more applications retrieve the event data associated with particular users by event type and by event time of occurrence (column 8, lines 62-67, column 9, lines 1, 55-64, column 10, lines 10-22, 36-41).

As per claim 6, Hent discloses the web site system of Claim 1, wherein the event history server comprises a plurality of minored storage layer servers that persistently store like event data by user ID (column 8, lines 62-65).

As per claim 9, Hent discloses the web site system of Claim I, wherein the event history server records event data for substantially every mouse click action of every recognized user of a corresponding web site (column 8, lines 48-60).

As per claim 10, Hent discloses the web site system of Claim 1, wherein the event history server records impression event data indicative of specific items presented to users on dynamically generated web pages (column 3, lines 60-65).

As per claim 11, Hent discloses the web site system of Claim 1, wherein the at least one application includes a web search application that provides functionality for searching an index of web pages, and uses the event history server to identify and highlight web search result items that have previously been accessed by a user conducting a current search (column 9, lines 22-40).

As per claim 12, Hent discloses the web site system of Claim 1, wherein the at least one application includes an application that provides functionality for users to interactively view and organize their respective browse history data as recorded by the event history server (column 9, lines 10-15).

As per claim 46, Hent discloses the web site system of Claim 1, wherein the web server system is responsive to a page request from a user during a browsing session by retrieving, from the event history server, event data descriptive of at least one event that has already occurred during the browsing session, and by using the event data descriptive of said at least one event to provide personalized content to the user (column 8, lines 45-60).

As per claim 47, Hent discloses the web site system of Claim 1, wherein the web server system reports the events directly to the event history server without use of a web log (column 5, lines 35-55).

***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 2-5, 7-8, 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hentzel et al. (hereinafter "Hent", US Patent 6,877,007 B1) in view of Tamir et al. (hereinafter "Tamir", US Patent Publication 2002/0063735 A1).

As per claim 2, Hent discloses the web site system of Claim 1.

Hent does not explicitly disclose wherein the event history server records the event data for a given event as an event object that includes at least the following: an event type identifier, an event value, a user ID, and a time stamp. However, in an analogous art, Tamir discloses storing tracking records such as session records, application records, and activity records in a database including the Session Identifier, User Identifier, Application Identifier, Application Start and End Times, Activity Data, Session Identifier, Session Start and End Times (paragraphs [0052-0057]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Tamir's history server recording the event data for a given event as an event object that includes at least the following: an event type identifier, an event value, a user ID, and a time stamp in Hent's system in order to identify the user application, indicate the activity the user executed with the application, and determine when the client application began and ended communication with the server system.

As per claim 3, Hent discloses the web site system of Claim 1. Hent does not explicitly disclose wherein the event history server includes at least one storage layer server that stores the event data persistently by user ID, and further includes at least one cache layer server that caches event data of online users. However, in an analogous art, Tamir discloses the server system includes the content servers, download servers, communication servers, load balancer, database, log files, and reporting servers. Whenever the server system receives data, it includes the User Identifier. The User Identifier is used to perform specific function including identifying the user at login, accessing database and log files to determine the user's prior activities (paragraphs [0036, 0059-0062]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Tamir's event history server includes at least one storage layer server that stores the event data persistently by user ID, and further includes at least one cache layer server that caches event data of online users in

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Hent's system in order to identify the user application, indicate the activity the user executed with the application, and determine when the client application began and ended communication with the server system.

As per claim 4, Hent does not explicitly disclose the web site system of Claim 2, wherein the cache layer server is configured to collect event data of an unrecognized user during a browsing session, and to pass such collected event data to the at least one storage layer server for persistent storage thereof if the unrecognized user becomes recognized during the browsing session.

However, in an analogous art, Tamir discloses the server system determines if the user is new by searching pre-existing unique User Identifiers. The system requests a unique User Identifier and stores the identifier for future visits by the user (paragraphs [0044-0046]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Tamir's cache layer server is configured to collect event data of an unrecognized user during a browsing session, and to pass such collected event data to the at least one storage layer server for persistent storage thereof if the unrecognized user becomes recognized during the browsing session in Hent's system in order to prevent the use of unauthorized users.

As per claim 5, Hent discloses the web site system of Claim 1. Hent does not explicitly disclose wherein the event history sever comprises a plurality of cache layer



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servers, each of which is assigned to a different respective set of browse session ID's such that a given user remains assigned to a particular cache layer server throughout a browse session.

However, in an analogous art, Tamir discloses a session record includes the Session Identifier, User Identifier, Start and End Times (paragraphs [0052-0053]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Tamir's event history server comprises a plurality of cache layer servers, each of which is assigned to a different respective set of browse session ID's such that a given user remains assigned to a particular cache layer server throughout a browse session in Hent's system indicating how long the user communicated with the server system and how the session was terminated.

As per claim 7, Hent discloses the web site system of Claim 1.

Hent does not explicitly disclose wherein the query interface of the event history server supports queries of the form "has User X accessed URL Y?".

However, in an analogous art, Tamir discloses using fields the server system is able to track each application's activities and customize presentation of information. The Application Information Record further includes a Client Query Time Interval field which indicates the time period between application queries to the server for information (paragraphs [0067-0070]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Tamir's query interface of the event

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history server supports queries of the form "has User X accessed URL Y?" in Hent's system in order to determine the particular activities of a user.

As per claim 8, Hent discloses the web site system of Claim 1.

Hent does not explicitly disclose wherein the query interface of the event history server supports queries of the form "when has User X accessed URL Y?".

However, in an analogous art, Tamir discloses using fields the server system is able to track each application's activities and customize presentation of information. The Application Information Record further includes a Client Query Time Interval field which indicates the time period between application queries to the server for information (paragraphs [0067-0070]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Tamir's query interface of the event history server supports queries of the form "when has User X accessed URL Y?" in Hent's system in order to determine the particular activities of a user.

As per claim 13, Hent discloses the web site system of Claim 1.

Hent does not explicitly disclose wherein the event history server generates user-specific Bloom filters reflective of event histories of specific users, and uses the user-specific Bloom filters to respond to queries from the at least one application.

However, in an analogous art, Tamir discloses using fields the server system is able to track each application's activities and customize presentation of information. The

Application Information Record further includes a Client Query Time Interval field which indicates the time period between application queries to the server for information (paragraphs [0067-0070]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Tamir's user-specific Bloom filters reflective of event histories of specific users, and uses the user-specific Bloom filters to respond to queries from the at least one application in Hent's system in order to determine the particular activities of a user.

### ***Conclusion***


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Barbara N. Burgess whose telephone number is (571) 272-3996. The examiner can normally be reached on M-F (8:00am-4:00pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Ettinene can be reached on (571) 272-4001. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Barbara N Burgess  
Examiner  
Art Unit 2157

June 12, 2005

  
ARJO ETIENNE  
PRIMARY EXAMINER